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Profinite Groups with Many Elements of Bounded Order *

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Abstract

Lévai and Pyber [5] proposed the following as a conjecture (see also Problem 14.53 of [9]): if G is a profinite group such that the set of solutions of the equation $x^n = 1$ has positive Haar measure, then G has an open subgroup H and an element t such that all elements of the coset tH have order dividing n.

We define a constant c_n for all finite groups and prove that the latter conjecture is equivalent with a conjecture saying $c_n < 1$. Using the latter equivalence we observe that correctness of Lévai and Pyber conjecture implies the existence of the universal upper bound $1/1-c_n$ on the index of generalized Hughes-Thompson subgroup H_n of finite groups whenever it is non-trivial. It is known that the latter is widely open even for all primes $n=p\geqslant 5$. For odd n we also prove that Lévai and Pyber conjecture is equivalent to show that c_n is less than 1 whenever c_n is only computed on finite solvable groups.

The validity of the conjecture has been proved in [5] for n = 2. Here we confirm the conjecture for n = 3.

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1 Introduction and results

Let G be a Hausdorff compact group. Then G has a unique normalized Haar measure denoted by \mathfrak{m}_G . In general, the question of

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weather the interior of every non-empty measurable subset of G with positive Haar measure is non-empty has negative answer even if G is profinite (see e.g. [5]). However the same question for subsets defined by words is still open. In [5] the following conjecture is proposed.

Conjecture 1.1 (Conjecture 3 of [5], Problem 14.53 of [9]) Let G be a profinite group such that the set $X_n(G)$ of solutions of the equation $x^n = 1$ in G has positive Haar measure. Then G has an open subgroup H and an element t such that all elements of the coset tH have order dividing n.

The validity of Conjecture 1.1 has been proved in [5] for n=2. In [7] it is shown that the conjecture is valid for n=2 even if G is Hausdorff compact. It is also proved in [7] that if $X_3(G)$ has positive Haar measure in a compact group G, then G contains an open normal subgroup which is 2-Engel. Here we confirm Conjecture 1.1 for n=3. To do so, we first show that Conjecture 1.1 is equivalent to the following one. We need the following notation in the statement of the conjecture. For an arbitrary group K and an automorphism φ of K of order dividing a positive integer n, define

$$X_{n,\varphi}(K) := \left\{ x \in K \mid x x^{\varphi} x^{\varphi^2} \dots x^{\varphi^{n-1}} = 1 \right\}.$$

The automorphism group of K will be denoted by Aut(K). In the following we denote by \mathcal{F} the class of all finite groups.

Conjecture 1.2

$$c_n:=sup\left(\left\{\frac{|X_{n,\varphi}(H)|}{|H|}:H\in\mathfrak{F},\;\varphi\in Aut(H),\;\varphi^n=id\right\}\setminus\{1\}\right)<1.$$

It is known that Conjecture 1.2 is valid for n = 2 and the supremum c_2 is 3/4 (see [8]). We shall prove that $c_3 < 1$.

If n is odd, using Theorem 1.10 of [4], we prove that Conjecture 1.1 is equivalent to the following. Here we denote by δ the class of finite solvable groups.

Conjecture 1.3

$$c_{2n+1}^{\$}\!:=\!sup\left(\left\{\frac{|X_{n,\varphi}(H)|}{|H|}:H\!\in\!\$,\;\varphi\!\in\!Aut(H),\;\varphi^{2n+1}\!=\!id\right\}\!\setminus\!\{1\}\right)\!<\!1.$$

The following easy proposition and the next remark show how difficult the above conjectures may be. Recall that for any finite group G

and any positive integer n the generalized Hughes-Thompson subgroup $H_n(G)$ is defined as the subgroup $\langle x \in G \mid x^n \neq 1 \rangle$ of G, see Chapter 7 of [3].

Proposition 1.4 If Conjecture 1.2 is true for n i.e. $c_n < 1$, then for any finite group G with $H_n(G) \neq 1$, $|G: H_n(G)| < 1/1 - c_n$.

PROOF — Since $H_n(G) \neq 1$, $X_n(G) \neq G$. Now the proof follows from the fact that $G \setminus H_n(G) \subset X_n(G)$ and the definition of c_n .

The problem of finding a universal bound from above depending only on p for the index $|G:H_p(G)|$ of the Hughes-Thompson subgroup $H_p(G)$ of finite p-groups G with $H_p(G) \neq 1$ is widely open for all $p \geq 5$ (see Chapter 7 of [3] for the history and results on the problem). Indeed to get that universal bound, one would have to prove, inter alia, that $L(B(\infty,p))$ has a finite basis of multilinear identities, where $B:=B(\infty,p)$ is the free Burnside group of exponent p with infinite countable rank and $L(B(\infty,p))$ is the associated Lie ring on $\bigoplus_{i=1}^{\infty} \gamma_i(B)/\gamma_{i+1}(B)$.

We finish this section with the following two questions.

Question 1.5 Suppose that n is a positive integer for which there exists a positive integer k_n depending only on n such that $|G:H_n(G)| \le k_n$ for all finite groups G with $1 \ne H_n(G)$. Is it true that $c_n < 1$? The same question is open even when $n \ge 5$ is prime.

Question 1.6 Let n > 1 be a positive integer such that $c_d < 1$ for all prime power divisors d of n. Is it true that $c_n < 1$?

2 Profinite groups

In the following, we denote the normalized Haar measure of a compact group G by \mathfrak{m}_G , and we will simply write \mathfrak{m} if there is no ambiguity. Our first easy lemma will be used in the sequel without any further reference.

Lemma 2.1 (cf. [2], Lemma 2.5) Let G be a compact group and $A \subseteq G$ be a measurable subset. If $m(A) \geqslant 1 - \varepsilon$, then $m\left(\bigcap_{k=1}^n g_k A\right) \geqslant 1 - n\varepsilon$ for all $g_1, \ldots, g_n \in G$. The similar result with strict inequalities holds.

PROOF — By induction on n, we prove the result. For n = 1, it holds as the measure is left-invariant. Assume that the result is true for n; therefore

$$\mathfrak{m}\left(\bigcap_{k=1}^{n+1}g_{k}A\right) = \mathfrak{m}\left(\bigcap_{k=1}^{n}g_{k}A\right) + \mathfrak{m}(g_{n+1}A) - \mathfrak{m}\left(\left(\bigcap_{k=1}^{n}g_{k}A\right) \cup (g_{n+1}A)\right)$$
$$\geqslant (1 - n\epsilon) + (1 - \epsilon) - 1 = 1 - (n+1)\epsilon$$

by the induction hypothesis and the statement is proved.

Lemma 2.2 Let G be a compact group and φ be a continuous automorphism of G of order dividing n. Denote by $G \rtimes \langle \varphi \rangle$ the semidirect product of G by $\langle \varphi \rangle$. Then:

- (i) $X_{n,\varphi}(G)$ has nonempty interior if and only if $X_n(G \rtimes \langle \varphi \rangle)$ has nonempty interior.
- (ii) If $X_{n,\varphi}(G)$ has positive Haar measure then $X_n(G \rtimes \langle \varphi \rangle)$ has positive Haar measure.

Proof — Since

$$X_n(G \rtimes \langle \phi \rangle) \cap G\phi^{-1} = X_{n,\phi}(G)\phi^{-1}$$
,

both points follow.

Proposition 2.3 Conjecture 1.1 *implies* Conjecture 1.2.

PROOF — If n is such that Conjecture 1.2 is not valid, then there exist sequences (G_k) of finite groups and $(\varphi_k) \in \prod_{k=1}^{\infty} \operatorname{Aut}(G_k)$ such that $\varphi_k^n = 1$ and

$$0 < \prod_{k=1}^{\infty} \frac{|X_{n,\varphi_k}(G_k)|}{|G_k|} < 1.$$

Consider the cartesian product $G = \prod_k G_k$ which is clearly profinite. Then $\varphi = (\varphi_k)$ is an automorphism of G of order dividing n. It is clear that the measure of $X_{n,\varphi}(G)$ is equal to $\prod_k \frac{|X_{n,\varphi_k}(G_k)|}{|G_k|}$ and its interior is empty, so by Lemma 2.2, $X_n(G \rtimes \langle \varphi \rangle)$ has positive Haar measure and empty interior showing that Conjecture 1.1 is not valid.

The following lemma will be used in the proof that "Conjecture 1.2 implies Conjecture 1.1". We write "N \leq_0 G" whenever N is a normal and open subgroup of G.

Lemma 2.4 Let A be a closed subset of a profinite group with positive Haar measure and M be any normal open subgroup of G. If X is the set of all normal open subgroups of G contained in M, then

$$sup\left\{\frac{m(Ng\cap A)}{m(N)}:g\in G,N\in \mathcal{X}\right\}=1$$

PROOF — Let $N \in \mathcal{X}$ be such that r := |G : N|. If s is the number of cosets of N which intersect A, then

$$(r-s)m(N) \le 1-m(A).$$
 (2.1)

On the other hand, assume that

$$\mathfrak{m}(\mathsf{N} x \cap \mathsf{A}) = \max \big\{ \mathfrak{m}(\mathsf{N} g \cap \mathsf{A}) : g \in \mathsf{G} \big\},\,$$

so

$$\mathfrak{m}(A) \leqslant \mathfrak{sm}(\mathsf{N} \mathsf{x} \cap A) \tag{2.2}$$

It follows from inequalities (2.1) and (2.2) that

$$\frac{\mathsf{m}(\mathsf{A})}{1-\mathsf{m}(\mathsf{A})}\frac{\mathsf{r}-\mathsf{s}}{\mathsf{s}}\leqslant \frac{\mathsf{m}(\mathsf{N}\mathsf{x}\cap\mathsf{A})}{\mathsf{m}(\mathsf{N})}.$$

Since A is closed,

$$\mathfrak{m}(A)=\inf\left\{\frac{|AK/K|}{|G/K|}: K \trianglelefteq_o G\right\}.$$

Now since $|AK/K|/|G/K| \le |AN/N|/|G/N|$, whenever $K \le N$ are normal subgroups of G of finite index, it follows that

$$m(A) = \inf \left\{ \frac{|AN/N|}{|G/N|} : N \in \mathfrak{X} \right\}.$$

Since r/s = |G/N|/|AN/N|, the result now follows from the last inequality. \Box

For any positive integer n and any class \mathfrak{X} of finite groups, we denote by $c_n^{\mathfrak{X}}$ the following positive real number which is at most 1:

$$sup\left(\left\{\frac{|X_{n,\varphi}(H)|}{|H|}: H\in \mathfrak{X}\, and\ \, \varphi\in Aut(H),\ \, \varphi^n=id\right\}\setminus\{1\}\right).$$

Proposition 2.5 Assume $c_n^{\mathfrak{X}} < 1$ and suppose that G is a profinite group. Let M be a normal open subgroup of G and φ be a continuous automorphism of M of order dividing n such that $N^{\varphi} \subset N$ for all normal open subgroups N of G contained in M and $M/N \in \mathfrak{X}$. Then $\mathfrak{m}_M(X_{n,\varphi}(M)) \leqslant c_n^{\mathfrak{X}}$ if $X_{n,\varphi}(M) \neq M$.

PROOF — Seeking a contradiction, suppose $\mathfrak{m}_{M}(X_{n,\Phi}(M)) > c_{n}^{\mathfrak{X}}$. Let N be a normal open subgroup of G. Consider the following automorphism of $M/(M \cap N)$,

$$\overline{\Phi}: \frac{M}{M \cap N} \to \frac{M}{M \cap N}, \quad \overline{x}^{\overline{\Phi}}:= \overline{x^{\overline{\Phi}}}$$

We have

$$\mathfrak{m}_{M}\left(X_{n,\varphi}(M)\right)\leqslant\frac{|X_{n,\overline{\varphi}}(M/(M\cap N))|}{|M/(M\cap N)|}$$

which holds because if $x \in X_{n,\phi}(M)$, then $\overline{x} \in X_{n,\overline{\phi}}(M/(M \cap N))$. Since $c_n^{\chi} < 1$, it follows that

$$X_{n,\overline{\Phi}}(M/(M\cap N)) = M/(M\cap N),$$

whence $\prod_{k=0}^{n-1} x^{\phi^k} \in \mathbb{N}$ for all $x \in M$. Since

$$\bigcap \{N \leq_o G : N \leqslant M\} = \{1\},\$$

we have
$$\prod_{k=0}^{n-1} x^{\varphi^k} = 1$$
 for all $x \in M$, i.e. $X_{n,\varphi}(M) = M$.

We are now in a position to prove the following result.

Proposition 2.6 Conjecture 1.2 *implies* Conjecture 1.1.

PROOF — Let G be a profinite group such that $\mathfrak{m}_G(X_n(G)) > 0$. By Lemma 2.4, there exist a normal open subgroup M and $g \in X_n(G)$, such that

$$c_n < \frac{m_G(Mg \cap X_n(G))}{m_G(M)} = \frac{m_G(M \cap X_n(G)g^{-1})}{m_G(M)}.$$
 (2.3)

Put $\phi: M \to M$, $x \mapsto gxg^{-1}$. Since $g^n = 1$, then $\phi^n = 1$. Moreover

$$M \cap X_n(G)g = X_{n,\Phi}(M)$$

and the inequality (2.3) means that $\mathfrak{m}_M(X_{n,\varphi}(M)) > c_n$, so by Proposition 2.5, $X_{n,\varphi}(M) = M$, whence $Mg \subseteq X_n(G)$.

We use the following result in the proof of Proposition 2.8.

Theorem 2.7 (Theorem 1.10 (ii) of [4]) Let G be a profinite group and suppose that the set of elements of G of finite odd order has positive Haar measure. Then G has a prosolvable open normal subgroup.

Proposition 2.8 For odd n, Conjecture 1.3 implies Conjecture 1.1.

PROOF — Let G be a profinite group with $\mathfrak{m}_G(X_\mathfrak{n}(G)) > 0$. By Theorem 2.7 and Lemma 2.4, there exist a normal open subgroup M and $g \in X_\mathfrak{n}(G)$ such that such that M/N is finite solvable for all open normal subgroups N of G contained in M, and

$$c_n^{s} < \frac{m_G(Mg \cap X_n(G))}{m_G(M)} = \frac{m_G(M \cap X_n(G)g^{-1})}{m_G(M)}.$$
 (2.4)

Put $\phi: M \to M$, $x \mapsto gxg^{-1}$. Since $g^n = 1$, then $\phi^n = 1$. Moreover

$$M \cap X_n(G)g = X_{n,\Phi}(M)$$

and the inequality (2.4) means that $\mathfrak{m}_M(X_{n,\varphi}(M)) > c_n^{\mathcal{S}}$, so by Proposition 2.5, $X_{n,\varphi}(M) = M$, whence $Mg \subseteq X_n(G)$.

3 Compact groups with splitting automorphisms of order 3

In this section we prove that $c_3 < 1$.

Theorem 3.1 Let G be a compact group and α be an automorphism of G such that $\alpha^3 = id$ and the set $X = \{x \in G \mid xx^{\alpha}x^{\alpha^2} = 1\}$ is measurable with m(X) > 15/16. Then X = G.

PROOF — First we prove that G is 2-Engel. The proof is similar to an argument used in the proof of Theorem 4.4 of [7]. We give the proof for the reader's convenience. For any $a, b \in G$ we must prove that [a, b, b] = 1. Consider the set

$$M := X \cap b^{-1}X \cap aX \cap a^{-1}X \cap ab^{-1}X \cap ba^{-1}X \cap abX \cap b^{-1}a^{-1}X.$$

Since m(M) > 1/2, there exists $x \in X$ such that

$$\begin{split} 1 &= (x\alpha^{-1})^3 = (bx\alpha^{-1})^3 = (ax\alpha^{-1})^3 = (a^{-1}x\alpha^{-1})^3 = (ab^{-1}x\alpha^{-1})^3 \\ &= (ba^{-1}x\alpha^{-1})^3 = (abx\alpha^{-1})^3 = (b^{-1}a^{-1}x\alpha^{-1})^3, \end{split}$$

where all the elements written above belong to the semidirect product $G \rtimes \langle \alpha \rangle$ by noting that $g \in X$ if and only if $(g\alpha^{-1})^3 = 1$ in $G \rtimes \langle \alpha \rangle$. Now Lemma 4.1 of [7] implies that [a, b, b] = 1.

In the sequel we prove that for an arbitrary element g of G, we have $gg^{\alpha}g^{\alpha^2}=1$.

Let $X^{-1}=\{x^{-1}\mid x\in X\}$ and $Y=X\cap X^{-1}$. Then $\mathfrak{m}(Y)>7/8$. Consider the set $Z=Y\cap g^{-1}Y$. Since $\mathfrak{m}(Z)>3/4$, $Z\neq\varnothing$ and for all $x\in Z$ we have

$$xx^{\alpha}x^{\alpha^2} = gx(gx)^{\alpha}(gx)^{\alpha^2} = 1.$$
 (3.1)

It follows from $gx(gx)^{\alpha}(gx)^{\alpha^2} = 1$ that

$$\begin{split} 1 &= gxg^{\alpha}x^{\alpha}g^{\alpha^2}x^{\alpha^2} \\ &= gg^{\alpha}x[x,g^{\alpha}]x^{\alpha}x^{\alpha^2}g^{\alpha^2}[g^{\alpha^2},x^{\alpha^2}] \ \ (\text{using the identity } XY = YX[X,Y]) \\ &= gg^{\alpha}[x,g^{\alpha}]xx^{\alpha}x^{\alpha^2}g^{\alpha^2}[g^{\alpha^2},x^{\alpha^2}] \ \ (\text{since G is 2-Engel and}[x,[x,g^{\alpha}]] = 1) \\ &= gg^{\alpha}[x,g^{\alpha}]g^{\alpha^2}[g^{\alpha^2},x^{\alpha^2}] \ \ (\text{since by (3.1)},xx^{\alpha}x^{\alpha^2} = 1) \\ &= gg^{\alpha}g^{\alpha^2}[x,g^{\alpha}][x,g^{\alpha},g^{\alpha^2}][g^{\alpha^2},x^{\alpha^2}] \ \ (\text{using the identity } XY = YX[X,Y]). \end{split}$$

Thus

$$(gg^{\alpha}g^{\alpha^2})^{-1}=[x,g^{\alpha}][x,g^{\alpha},g^{\alpha^2}][g^{\alpha^2},x^{\alpha^2}] \ \ \text{for all} \ x\in Z.$$

Now consider $W = Z \cap x_0^{-1} Z$ for some $x_0 \in Z$. Since $\mathfrak{m}(W) > 1/2$, W is nonempty and for all $y \in W$ we have

$$(gg^{\alpha}g^{\alpha^{2}})^{-1} = [x_{0}y, g^{\alpha}][x_{0}y, g^{\alpha}, g^{\alpha^{2}}][g^{\alpha^{2}}, (x_{0}y)^{\alpha^{2}}]$$

$$= [y, g^{\alpha}][y, g^{\alpha}, g^{\alpha^{2}}][g^{\alpha^{2}}, y^{\alpha^{2}}]$$
(3.2)

Note that G is nilpotent of class at most 3 (see e.g. [10], Corollary 3 on page 45). By expanding the commutators in the middle equality of (3.2) and using the fact that commutators of weight 3 are central,

we have

$$\begin{split} (gg^{\alpha}g^{\alpha^2})^{-1} &= [x_0y,g^{\alpha}][x_0y,g^{\alpha},g^{\alpha^2}][g^{\alpha^2},(x_0y)^{\alpha^2}] \\ &= [x_0,g^{\alpha}][x_0,g^{\alpha},y][y,g^{\alpha}][x_0,g^{\alpha},g^{\alpha^2}][y,g^{\alpha},g^{\alpha^2}][g^{\alpha^2},y^{\alpha^2}][g^{\alpha^2},x_0^{\alpha^2}][g^{\alpha^2},x_0^{\alpha^2},y^{\alpha^2}] \\ &= [x_0,g^{\alpha}][x_0,g^{\alpha},g^{\alpha^2}][g^{\alpha^2},x_0^{\alpha^2}][y,g^{\alpha}][y,g^{\alpha},g^{\alpha^2}][g^{\alpha^2},y^{\alpha^2}][x_0,g^{\alpha},y][g^{\alpha^2},x_0^{\alpha^2},y^{\alpha^2}] \\ &= (gg^{\alpha}g^{\alpha^2})^{-1}(gg^{\alpha}g^{\alpha^2})^{-1}[x_0,g^{\alpha},y][g^{\alpha^2},x_0^{\alpha^2},y^{\alpha^2}]. \end{split}$$

Therefore

$$gg^{\alpha}g^{\alpha^2} = [x_0, g^{\alpha}, y][g^{\alpha^2}, x_0^{\alpha^2}, y^{\alpha^2}] \text{ for all } y \in W.$$
 (3.3)

Now consider $T = W \cap y_0^{-1}W$ for some $y_0 \in W$. Since $\mathfrak{m}(T) > 0$, there exists $z \in W$ such that $y_0z \in W$. It follows from (3.3) that

$$gg^{\alpha}g^{\alpha^2} = [x_0, g^{\alpha}, y_0 z][g^{\alpha^2}, x_0^{\alpha^2}, (y_0 z)^{\alpha^2}] = [x_0, g^{\alpha}, y_0][g^{\alpha^2}, x_0^{\alpha^2}, y_0^{\alpha^2}].$$

Since commutators of weight 3 are central in G,

$$\begin{split} [x_0, g^{\alpha}, y_0 z][g^{\alpha^2}, x_0^{\alpha^2}, (y_0 z)^{\alpha^2}] \\ &= [x_0, g^{\alpha}, y_0][g^{\alpha^2}, x_0^{\alpha^2}, y_0^{\alpha^2}][x_0, g^{\alpha}, z][g^{\alpha^2}, x_0^{\alpha^2}, z^{\alpha^2}]. \end{split}$$

Therefore,

$$[x_0, g^{\alpha}, z][g^{\alpha^2}, x_0^{\alpha^2}, z^{\alpha^2}] = 1$$

and so, as $z \in W$, it follows from (4) that $gg^{\alpha}g^{\alpha^2} = 1$. This completes the proof.

Using the first part of our proof of Theorem 3.1 and applying Theorem 6.5 of [2] (cf. [1], Théorème 5) the number 15/16 can be reduced to 7/8.

In [6] groups G having an automorphism $\phi \in \text{Aut}(G)$ with $\phi^n = 1$ such that $X_{n,\phi}(G)$ is a large set in the sense of [1] for n=3,4 are studied. For the case n=3 it is proved in [6] that $G=X_{3,\phi}(G)$. For the case n=4, it is proved that a normal solvable subgroup H of G of derived length $d \geqslant 3$ is nilpotent of class at most $\frac{9^{d-2}+1}{2}$. It is interesting to know if the same latter result is valid by replacing "largness" of $X_{n,\phi}(G)$ by weaker condition "k-largness" for some k in the sense of [2]. If the latter is valid, then for compact groups G with $m_G(X_{4,\phi}(G)) > 1 - \frac{1}{k}$, where ϕ is continuous, by Lemma 2.1 we have that $G = X_{4,\phi}(G)$.

Theorem 3.2 $c_3 < 1$.

PROOF — It follows from Theorem 3.1.

We now see that Conjecture 1.1 of Lévai and Pyber is true for n = 3.

Theorem 3.3 Let G be a profinite group such that the equation $x^3 = 1$ holds on a set with positive Haar measure. Then the solutions set of the equation $x^3 = 1$ has non-empty interior.

PROOF — It follows from Theorem 3.2 and Proposition 2.6. \Box

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