How many subgroups are there in a finite group? (joint work with C. M. Roney-Dougal)

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AGTA 2023 Lecce, June 8th 2023



1. Counting subgroups in a finite group: where do we start?

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3. A conjecture of Pyber on counting subgroups of finite symmetric groups (+ recent progress).

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- 3. A conjecture of Pyber on counting subgroups of finite symmetric groups (+ recent progress).
- 4. Consequences of subgroup enumeration for "random subgroups" of finite groups.

Section 1. Counting subgroups in a finite group: where do we start?

<u>Notation</u>: For a finite group G, and a group theoretic property \mathcal{P} , write

$$\operatorname{Sub}_{\mathcal{P}}(G) := \{H : H \text{ a } \mathcal{P}\text{-subgroup of } G\}$$
; and
 $\operatorname{Sub}(G) := \{H : H \text{ a subgroup of } G\}.$

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Example

 $|\operatorname{Sub}(S_3)| = 6$ while $|\operatorname{Sub}_{\operatorname{cyclic}}(S_3)| = 5$.

Sticking with the theme of symmetric groups

Example

 $|\operatorname{Sub}(S_3)|=6$

 $|\operatorname{Sub}(S_4)|=30$



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 $|\operatorname{Sub}(S_3)|=6$

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 $|\operatorname{Sub}(S_{18})| = 7598016157515302757$ (Holt, 2010).

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 $|\operatorname{Sub}(S_{18})| = 7598016157515302757 \text{ (Holt, 2010)}.$

In this talk, we're not going to interested in specific values of $|\operatorname{Sub}(G)|$. We are going to be mainly interested in the following:

Question

Let $(G_i)_{i \in \mathbb{N}}$ be a sequence of finite groups G_i . What can we say (asymptotically) about the functions $|\operatorname{Sub}(G_i)|$ and $\operatorname{Sub}_{\mathcal{P}}(G_i)$ as $i \to \infty$?

Arguably, the easiest natural infinite sequence of finite groups to deal with is the elementary abelian *p*-groups, for a fixed prime *p*. So let us compute $|\operatorname{Sub}(\mathbb{F}_p^m)|$.

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$$|\operatorname{Sub}_{d-\operatorname{dimensional}}(\mathbb{F}_p^m)| = rac{(p^m-1)(p^m-p)\dots(p^m-p^{d-1})}{(p^d-1)(p^d-p)\dots(p^d-p^{d-1})}$$

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The function $p^{d(m-d)}$ is maximised at d = m/2. One then easily gets

$$p^{m^2/4} \leq |\operatorname{Sub}(\mathbb{F}_p^m)| \leq cp^{m^2/4}$$

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Although this computation is very straightforward, it is very useful for coming up with lower bounds on |Sub(G)| in more interesting classes of finite groups.

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$$H := \langle (1,2), (3,4), \ldots, (n-1,n) \rangle \cong \mathbb{F}_2^{n/2}.$$

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Thus, the above tell us that

$$|\operatorname{Sub}(S_n)| \ge |\operatorname{Sub}(H)| \ge 2^{n^2/16}.$$

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Example

Fix a prime p, and an even integer n. Then $\operatorname{GL}_n(\mathbb{F}_p)$ has a subgroup

$$H:=\left\{ \begin{bmatrix} I_{n/2} & A\\ 0_{n/2} & I_{n/2} \end{bmatrix} : A \in M_{n/2}(\mathbb{F}_p) \right\} \cong \mathbb{F}_p^{n^2/4}.$$

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Thus, we have

 $|\operatorname{Sub}(\operatorname{GL}_n(\mathbb{F}_p))| \ge |\operatorname{Sub}(H)| \ge p^{n^4/64}.$

These lower bounds will come in handy later on..

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Note first that in general, for a normal subgroup N of G, there is <u>not</u> an upper bound on $|\operatorname{Sub}(G)|$ in terms of $|\operatorname{Sub}(N)|$ and $|\operatorname{Sub}(G/N)|$. (One can already see this from elementary abelian groups of order p^2 .) This makes reductions difficult..

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We do remark however, that if $G = N \rtimes H$, then we have

$$|\operatorname{Sub}(G)| = \sum_{N_0 \le N, H_0 \le N_H(N_0)} |\operatorname{Der}(H_0, N_0)|$$

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where $Der(H_0, N_0)$ is the set of derivations from H_0 to N_0).

A surprisingly effective upper bound is a simple one: Suppose that every subgroup of G can be generated by d elements. Then

 $|\operatorname{Sub}(G)| \leq |G|^d$.

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If we just noted that every subgroup of G can be generated by m elements, then we'd get the upper bound $|\operatorname{Sub}(G)| \le p^{m^2}$.

Why do we care about subgroup enumeration?

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<u>Reason 1</u>: Numerous motivations from other areas of mathematics. For example:

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• Galois theory: If E/F is a finite Galois extension, then

#Intermediate fields $E/K = |\operatorname{Sub}(\operatorname{Gal}(E/F))|$.

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#Intermediate fields $E/K = |\operatorname{Sub}(\operatorname{Gal}(E/F))|$.

Topology: If X is a path connected, locally path connected, and semi-locally simply connected topological space, then

> #Isomorphism classes of = #Conjugacy classes of covers of X subgroups of $\pi_1(X)$

<u>Reason 2:</u> Graph enumeration problems. For example, suppose that we want to count the number of vertex-transitive graphs on n vertices.

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For such a graph Γ , if we know the neighbours of the first vertex; and we know Aut(Γ), then we know every edge, since Γ is vertex-transitive.

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There are 2^{n-1} possibilities for the neighbours of the first vertex.

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Thus, there are at most $2^{n-1} |\operatorname{Sub}_{\operatorname{transitive}}(S_n)|$ vertex-transitive graphs on *n* vertices.

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For such a graph Γ , if we know the neighbours of the first vertex; and we know a transitive subgroup of Aut(Γ), then we know every edge, since Γ is vertex-transitive.

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There are at most $|\operatorname{Sub}_{\text{minimal transitive}}(S_n)|$ possibilities for a minimal transitive subgroup of Aut(Γ).

Thus, there are at most $2^{n-1}|\operatorname{Sub}_{\text{minimal transitive}}(S_n)|$ vertex-transitive graphs on *n* vertices.

<u>Reason 3:</u> Group enumeration. For a positive integer *n*, let

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Theorem (Higman & Sims, 1965) Let p be prime. Then

$$\text{Iso}(p^k) = p^{2k^3/27 + o(k^3)}.$$

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Theorem (Higman & Sims, 1965) Let p be prime. Then

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Following Higman and Sims' results, the big question became: What happens for general *n*?

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to be the largest exponent of a prime power divisor of *n*. Thus, for *p* an odd prime, $\mu(2p^k) = k$, for example.

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The Higman-Sims result, in this language, states:

Theorem (Higman & Sims, 1965)

Let p be prime, $n := p^k$. Then

$$Iso(n) = n^{2\mu(n)^2/27 + o(\mu(n)^2)}$$
 as $\mu(n) \to \infty$.

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Thus, if we can count the number of possibilities for N, then we can determine the number of possibilities for G/Z(N) by counting the subgroups of $S_{|N|-1}$.

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Finally, to count the number of possibilities for G given G/Z(N), one needs a relatively straightforward calculation with the second cohomology group of G acting on Z(N).

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The group $F^*(G)$ is a central product of nilpotent and quasisimple groups, so one can count the possibilities for $F^*(G)$ by using the Higman-Sims result, together with the classification of finite simple groups.

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So from

$$G/Z(N) \hookrightarrow \operatorname{Aut}(N) \hookrightarrow S_{|N|-1},$$

we are now (leaving a lot of details, and another important step out..) reduced to counting the number of subgroups of a finite symmetric group!

Theorem (Pyber, 1990)

Let n be a positive integer. Then

$$|\operatorname{Sub}(S_n)| \leq 2^{cn^2+o(n^2)}$$

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So in a certain sense, Pyber's conjecture states that the subgroups of S_n are "dominated" by elementary abelian 2-groups.

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Section 3. Pyber's conjecture

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The proof then has four ingredients:

▶ $|\operatorname{Sub}(G)| \leq |\operatorname{Sub}_{\operatorname{soluble}}(G)||G|$ (Aschbacher & Guralnick).

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It follows that

$$\begin{split} |\mathrm{Sub}(S_n)| &\leq n! |\mathrm{Sub}_{\mathrm{soluble}}(S_n)| \leq n! \sum_{M < \max \text{ sol } S_n} |\operatorname{Sub}(M)| \\ &\leq n! \sum_{M < \max \text{ sol } S_n} |M|^{n/2} \\ &\leq n! \sum_{M < \max \text{ sol } S_n} 24^{n^2/6} \\ &\leq n! 2^{17n + n \log n} 24^{n^2/6} = 2^{cn^2 + o(n^2)} \end{split}$$

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In considering Pyber's conjecture, a natural first step is to look at Pyber's proof, and see if there are any "gains" to be made from any of the steps..

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- Step 1: Every finite group can be generated by a soluble subgroup + one other element. (Aschbacher & Guralnick, 1982). Best possible, but.. Could we replace soluble by something stronger, like nilpotent?
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Every finite group can be generated by a soluble subgroup together with one other element.

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Theorem (Aschbacher & Guralnick, 1982)

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Can we replace "soluble" by "nilpotent"?

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Let *H* be any non-nilpotent group, and let *p* be a prime with $p \nmid |H|$.

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Let \mathbb{F} be a finite field of characteristic p, and let V be a non-cyclic $\mathbb{F}[H]$ -module with $C_V(h) = 0$ for all $1 \neq h \in H$.

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Let $G = V \rtimes H$. Then G cannot be generated by two nilpotent subgroups.

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E.g. The group $H := SL_2(3) < SL_2(5)$ acts regularly on the non-zero elements of $W := \mathbb{F}_5^2$. Take $V := W \oplus W \oplus W$, with H acting diagonally.

However, let's think back to how the Aschbacher-Guralnick theorem was used in Pyber's proof. We had

 $|\operatorname{Sub}(S_n)| \leq |\operatorname{Sub}_{\operatorname{soluble}}(S_n)||S_n|.$

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The point was that $|S_n| = n! \le 2^{n \log n} = 2^{o(n^2)}$.

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With this in mind.. Can we prove that every finite group can be generated by a nilpotent subgroup, together with some "small" bunch of other elements?

The socle length of a finite group G is defined to be the minimal r such that $\operatorname{soc}^{r}(G) = G$, where $\operatorname{soc}^{0}(G) := 1$, and $\operatorname{soc}^{i}(G) / \operatorname{soc}^{i-1}(G) := \operatorname{soc}(G / \operatorname{soc}^{i-1}(G))$ for $i \ge 1$.

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Theorem (Roney-Dougal & T., 2022)

For a finite group G, let A(G) be the order of the largest abelian section of G, and let sl(G) be the socle length of G. Every finite group G can be generated by a nilpotent subgroup, together with $4sl(G)\sqrt{\log A(G)} + 1$ other elements.

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But how does this help us with Pyber's conjecture?

Recall that we need $|S_n|^{4\operatorname{sl}(G)\sqrt{\log A(G)}+1}$ to be $2^{o(n^2)}$...

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There exists an absolute constant c such that if $|\operatorname{Sub}_{(c)}(S_n)| \leq 2^{n^2/16+o(n^2)}$, then $|\operatorname{Sub}(S_n)| \leq 2^{n^2/16+o(n^2)}$.

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And... $|S_n|^{O(\sqrt{n})} = 2^{o(n^2)}$.

Thus, we have reduced Pyber's conjecture to proving that $|{\rm Sub}_{{\rm nilpotent},(c)}(S_n)| \leq 2^{n^2/16+o(n^2)}.$

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This in fact quickly reduces to proving that

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Theorem (Roney-Dougal & T., 2023) Pyber's conjecture holds. In fact,

$$|\operatorname{Sub}(S_n)| \le 2^{n^2/16 + O(n^{3/2})}.$$

Of course, the main step is getting down to the problem of showing

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So we "just" have to count subgroups of a direct product of 2-groups of bounded size.

Our first attempt: This is surely doable if c is small?! For example, if c = 2, then we are just counting subgroups of a finite vector space over \mathbb{F}_2 , and as we saw earlier in the talk, this is easy.

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Induction gives $|\operatorname{Sub}(G_i)| \le 2^{n_i^2/16 + O(n_i^{3/2})}$, so if $|\operatorname{Hom}(Y, X)| \le 2^{n_1 n_2/8}$, for X a 2-section of S_{n_1} , Y a 2-subgroup of S_{n_2} , then we'd be done.

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With careful reordering of the groups in our large direct product, and various small improvements on current results on generator numbers in permutation groups, we managed to get what we need.

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Section 4. Applications to random subgroups, and random

finite groups

Now that we have an approach to enumerating subgroups of finite groups, a natural next question is:

Question

What does a random subgroup of a given finite group G look like?

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Definition

Let \mathcal{P} be a group theoretic property.

1. Let $(G_i)_{i \in \mathbb{N}}$ be a sequence of finite groups. We say that a random subgroup of the (G_i) has property \mathcal{P} if

$$rac{|\operatorname{Sub}_{\mathcal{P}}(\mathcal{G}_i)|}{|\operatorname{Sub}(\mathcal{G}_i)|} o 1 ext{ as } i o \infty.$$

 Let Iso*(n) [respectively Iso^{*}_P(n)] be the number of isomorphism classes of finite groups [resp. finite *P*-groups] of order at most n. We say that a random finite group has property *P* if

$$\frac{\operatorname{Iso}^*_{\mathcal{P}}(G_i)}{\operatorname{Iso}^*(G_i)} \to 1 \text{ as } i \to \infty.$$

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 Let Iso*(n) [respectively Iso^{*}_P(n)] be the number of isomorphism classes of finite groups [resp. finite *P*-groups] of order at most n. We say that a random finite group has property *P* if

$$rac{\operatorname{Iso}^*_{\mathcal{P}}({\sf G}_i)}{\operatorname{Iso}^*({\sf G}_i)} o 1 ext{ as } i o \infty.$$

For example, classical conjectures of Erdős and Pyber state:

Conjecture (Erdős, 1968) Let n, x be positive integers with $n \le 2^x$. Then

 $\operatorname{Iso}(n) \leq \operatorname{Iso}(2^{\times}).$

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Conjecture (Pyber, 1990) A random finite group is nilpotent.

Conjecture (Kantor, 1993)

A random subgroup of the symmetric groups $(S_n)_n$ is nilpotent.

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Conjecture (Kantor, 1993)

A random subgroup of the symmetric groups $(S_n)_n$ is nilpotent.

Theorem (T., 2023)

There exists absolute constants C and C_0 such that a random subgroup G of the symmetric groups $(S_n)_n$ has the property that at most $C_0\sqrt{n}$ points from $\{1, \ldots, n\}$ lie in a G-orbit of size greater than C.

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Corollary

A random subgroup of the symmetric groups $(S_n)_n$ has at least O(n) orbits.

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A random subgroup of the symmetric groups $(S_n)_n$ has at least O(n) orbits.

Theorem (Lucchini, 1998)

A random subgroup of the symmetric groups $(S_n)_n$ is intransitive.

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