### Rota-Baxter operators on Clifford semigroups and the Yang-Baxter equation

#### Marzia Mazzotta

Università del Salento







AGTA 2023

7th June 2023, Lecce

### The Baxters are not the same...

Glen Earl Baxter (1930-1983) was an American mathematician. His research fields include probability theory and combinatorial analysis.



YTIC PROBLEM WHOSE SOLUTION FOLLOWS FROM A SIMPLE ALGEBRAIC IDENTITY

GLEN BAXTER

1. Introduction. It is convenient to describe the point of view of this paper in terms of a very simple example. The unique solution of  $\frac{dy}{dx} = \lambda \varphi(x)y$ ,

Figure: Gian-Carlo Rota and G.E. Baxter

Rodney James Baxter (born in 1940) is an Australian physicist, specializing in statistical mechanics.



Figure: Chen-Ning Yang and R.J. Baxter

Marzia Mazzotta (Università del Salento) | Rota-Baxter operators on Clifford semigroups and the Yang-Baxter equation

### Rota-Baxter operators and applications

Rota–Baxter operators on commutative algebras first appeared in 1960 in Baxter's probability studies and were subsequently investigated by several authors, including Rota:

G. Baxter, An analytic problem whose solution follows from a simple algebraic identity, Pac. J. Math. 10 (1960) 731–742.

G.-C. Rota, *Baxter algebras and combinatorial identities*, I, II, Bull. Am. Math. Soc. 75 (1969) 325–329, Bull. Am. Math. Soc. 75 (1969) 330–334

Rota–Baxter operators on commutative algebras first appeared in 1960 in Baxter's probability studies and were subsequently investigated by several authors, including Rota:

G. Baxter, An analytic problem whose solution follows from a simple algebraic identity, Pac. J. Math. 10 (1960) 731–742.

G.-C. Rota, *Baxter algebras and combinatorial identities*, I, II, Bull. Am. Math. Soc. 75 (1969) 325–329, Bull. Am. Math. Soc. 75 (1969) 330–334

They have connections with Mathematical Physics, number theory, Hopf algebras, combinatorics, et cetera, as one can see in:

L. Guo, *An Introduction to Rota-Baxter Algebra*, Surveys of Modern Mathematics, vol. 4, International Press/Higher Education Press, Somerville (MA, USA)/Beijing, 2012

The notion of Rota-Baxter on groups was studied in two papers:

L. Guo, H. Lang, Y. Sheng, *Integration and geometrization of Rota-Baxter Lie algebras*, Adv. Math. 387 (2021), Paper No. 107834, 34 pp.

V. G. Bardakov, V. Gubarev, *Rota-Baxter operators on groups*, Proc. Indian Acad. Sci. Math. Sci. 133 (2023), no. 1, Paper No. 4, 29 pp.

The notion of Rota-Baxter on groups was studied in two papers:

L. Guo, H. Lang, Y. Sheng, *Integration and geometrization of Rota-Baxter Lie algebras*, Adv. Math. 387 (2021), Paper No. 107834, 34 pp.

V. G. Bardakov, V. Gubarev, *Rota-Baxter operators on groups*, Proc. Indian Acad. Sci. Math. Sci. 133 (2023), no. 1, Paper No. 4, 29 pp.

#### Definition

Let (G, +) be a group. A map  $\mathfrak{R} : G \to G$  is a *Rota–Baxter operator* if

 $\forall a, b \in G$   $\Re(a) + \Re(b) = \Re(a + \Re(a) + b - \Re(a)).$ 

#### Examples

1. If (G, +) is a group,  $\mathfrak{E}(a) = 0$  and  $\mathfrak{O}(a) = -a$ , for every  $a \in G$ .

#### Examples

- 1. If (G, +) is a group,  $\mathfrak{E}(a) = 0$  and  $\mathfrak{O}(a) = -a$ , for every  $a \in G$ .
- 2. If G = H + K is an exact factorization, the map  $\Re(h + k) = -k$ .

#### Examples

- 1. If (G, +) is a group,  $\mathfrak{E}(a) = 0$  and  $\mathfrak{O}(a) = -a$ , for every  $a \in G$ .
- 2. If G = H + K is an exact factorization, the map  $\Re(h + k) = -k$ .

#### Proposition

Let (G, +) be a group and  $\mathfrak{R} : G \to G$  an RB-operator on *G*. Then, the following are RB-operators on *G*:

- 1.  $\tilde{\mathfrak{R}}(a) = -a + \mathfrak{R}(a);$
- **2.** if  $\varphi \in \operatorname{Aut}(G)$ , the map  $\mathfrak{R}^{(\varphi)} \coloneqq \varphi^{-1}\mathfrak{R}\varphi$ .

#### Examples

- 1. If (G, +) is a group,  $\mathfrak{E}(a) = 0$  and  $\mathfrak{O}(a) = -a$ , for every  $a \in G$ .
- 2. If G = H + K is an exact factorization, the map  $\Re(h + k) = -k$ .

#### Proposition

Let (G, +) be a group and  $\mathfrak{R} : G \to G$  an RB-operator on G. Then, the following are RB-operators on G:

- 1.  $\tilde{\mathfrak{R}}(a) = -a + \mathfrak{R}(a);$
- **2.** if  $\varphi \in \operatorname{Aut}(G)$ , the map  $\mathfrak{R}^{(\varphi)} \coloneqq \varphi^{-1}\mathfrak{R}\varphi$ .

If *G* is abelian, RB-operators are all the endomorphisms of *G*. In general, any endomorphism  $\mathfrak{R}: G \to G$  that is an RB-operator on a group *G* (not necessarily abelian) is called *RB-endomorphism* of *G*.

### Idempotent Rota-Baxter endomorphisms

F. Catino, M. Mazzotta, P. Stefanelli, *Rota-Baxter operators on Clifford semigroups and the Yang-Baxter equation*, J. Algebra 622 (2023) 587–613

#### Theorem

Let (G, +) be a group and consider:

-  $N \trianglelefteq G$  such that G/N is abelian,

- S a set of representatives of G/N that is a subgroup of G. Then, any map  $\mathfrak{R}: G \to G$  such that

#### $\operatorname{Im} \mathfrak{R} = \mathcal{S} \qquad \& \qquad \mathfrak{R}(g) \in N + g,$

for every  $g \in G$ , is an idempotent RB-endomorphism of G. Moreover, every idempotent RB-endomorphism of G is of this form.

### Rota-Baxter induced group

### Proposition (G.L.S., 2021)

Let (G, +) be a group and  $\mathfrak{R}$  an RB-operator on G. Set

 $a \circ_{\mathfrak{R}} b \coloneqq a + \mathfrak{R}(a) + b - \mathfrak{R}(a),$ 

for all  $a, b \in G$ , then  $(G, \circ_{\Re})$  is a group.

### Rota-Baxter induced group

### Proposition (G.L.S., 2021)

Let (G, +) be a group and  $\mathfrak{R}$  an RB-operator on G. Set

 $a \circ_{\mathfrak{R}} b \coloneqq a + \mathfrak{R}(a) + b - \mathfrak{R}(a),$ 

for all  $a, b \in G$ , then  $(G, \circ_{\Re})$  is a group. Moreover,

- 1.  $\Re$  is a RB-operator on  $(G, \circ_{\Re})$ ;
- **2.** the map  $\mathfrak{R}: (G, +) \to (G, \circ_{\mathfrak{R}})$  is a homomorphism of groups.

# Skew braces coming from RB-operators

V. G. Bardakov, V. Gubarev, *Rota-Baxter groups, skew left braces, and the Yang-Baxter equation*, J. Algebra 596 (2022), 328–351

#### Proposition (B.G., 2022)

Let (G, +) be a group and  $\mathfrak{R}$  an RB-operator on *G*. Then,  $G_{\mathfrak{R}} := (G, +, \circ_{\mathfrak{R}})$  is a skew brace.

# Skew braces coming from RB-operators

V. G. Bardakov, V. Gubarev, *Rota-Baxter groups, skew left braces, and the Yang-Baxter equation*, J. Algebra 596 (2022), 328–351

#### Proposition (B.G., 2022)

Let (G, +) be a group and  $\mathfrak{R}$  an RB-operator on G. Then,  $G_{\mathfrak{R}} := (G, +, \circ_{\mathfrak{R}})$  is a skew brace.

**Examples:** The RB-operators  $\mathfrak{E}(a) = 0$  and  $\mathfrak{O}(a) = -a$  give rise to the *trivial* and *almost trivial* skew braces.

# Skew braces coming from RB-operators

V. G. Bardakov, V. Gubarev, *Rota-Baxter groups, skew left braces, and the Yang-Baxter equation*, J. Algebra 596 (2022), 328–351

#### Proposition (B.G., 2022)

Let (G, +) be a group and  $\mathfrak{R}$  an RB-operator on G. Then,  $G_{\mathfrak{R}} := (G, +, \circ_{\mathfrak{R}})$  is a skew brace.

**Examples:** The RB-operators  $\mathfrak{E}(a) = 0$  and  $\mathfrak{O}(a) = -a$  give rise to the *trivial* and *almost trivial* skew braces.

Note that not all skew braces come from RB-operators.

A. Caranti, L. Stefanello, Skew braces from Rota-Baxter operators: a cohomological characterisation and some examples, Ann. Mat. Pura Appl. (4) 202 (2023), no. 1, 1–13

# Clifford semigroups

Inverse semigroup theory was initiated in the 1950s and it has been extensively studied over the years.

A semigroup *S* is called *inverse* if, for each  $a \in S$ , there exists a unique  $a^{-1} \in S$  satisfying  $aa^{-1}a = a$  and  $a^{-1}aa^{-1} = a^{-1}$ .

A semigroup *S* is called *inverse* if, for each  $a \in S$ , there exists a unique  $a^{-1} \in S$  satisfying  $aa^{-1}a = a$  and  $a^{-1}aa^{-1} = a^{-1}$ .

 The set E(S) of the idempotents of S is an inverse subsemigroup of S and if |E(S)| = 1, then S is a group;

A semigroup *S* is called *inverse* if, for each  $a \in S$ , there exists a unique  $a^{-1} \in S$  satisfying  $aa^{-1}a = a$  and  $a^{-1}aa^{-1} = a^{-1}$ .

- The set E(S) of the idempotents of S is an inverse subsemigroup of S and if |E(S)| = 1, then S is a group;
- **2.**  $E(S) = \{aa^{-1}, a^{-1}a \mid a \in S\};$

A semigroup *S* is called *inverse* if, for each  $a \in S$ , there exists a unique  $a^{-1} \in S$  satisfying  $aa^{-1}a = a$  and  $a^{-1}aa^{-1} = a^{-1}$ .

 The set E(S) of the idempotents of S is an inverse subsemigroup of S and if |E(S)| = 1, then S is a group;

2. 
$$E(S) = \{aa^{-1}, a^{-1}a \mid a \in S\}$$

3.  $(ab)^{-1} = b^{-1}a^{-1}$  and  $(a^{-1})^{-1} = a$ , for all  $a, b \in S$ .

A semigroup *S* is called *inverse* if, for each  $a \in S$ , there exists a unique  $a^{-1} \in S$  satisfying  $aa^{-1}a = a$  and  $a^{-1}aa^{-1} = a^{-1}$ .

 The set E(S) of the idempotents of S is an inverse subsemigroup of S and if |E(S)| = 1, then S is a group;

**2.** 
$$E(S) = \{aa^{-1}, a^{-1}a \mid a \in S\};$$

3.  $(ab)^{-1} = b^{-1}a^{-1}$  and  $(a^{-1})^{-1} = a$ , for all  $a, b \in S$ .

*S* is a *Clifford semigroup* if it is an inverse semigroup such that, for each  $a \in S$ ,

$$aa^{-1} = a^{-1}a.$$

# **RB-operators on Clifford semigroups**

#### Definition (C., M., S., 2023)

If (S, +) is a Clifford semigroup, any map  $\mathfrak{R}: S \to S$  satisfying

$$\forall a, b \in S \qquad \Re(a) + \Re(b) = \Re(a + \Re(a) + b - \Re(a))$$
$$a + \Re(a) - \Re(a) = a$$

is called *Rota–Baxter operator* on (S, +).

# **RB-operators on Clifford semigroups**

#### Definition (C., M., S., 2023)

If (S, +) is a Clifford semigroup, any map  $\mathfrak{R}: S \to S$  satisfying

$$\forall a, b \in S \qquad \Re(a) + \Re(b) = \Re(a + \Re(a) + b - \Re(a))$$
$$a + \Re(a) - \Re(a) = a$$

is called *Rota–Baxter operator* on (S, +).

#### Example

If  $\varphi \in \text{End}(S)$  such that  $\varphi^2 = \varphi$  and  $\varphi(e) = e$ , for every  $e \in E(S)$ , then the map  $\mathfrak{R} := -\varphi$  is an RB-operator on *S*. As special cases,  $\mathfrak{E}(a) = a - a$  and  $\mathfrak{O}(a) = -a$ , for every  $a \in S$ .

# Rota-Baxter induced Clifford semigroup

### Proposition (C., M., S., 2023)

Let  $\mathfrak{R}$  an RB-operator on a Clifford semigroup (S, +). Set

 $a \circ_{\mathfrak{R}} b \coloneqq a + \mathfrak{R}(a) + b - \mathfrak{R}(a),$ 

for all  $a, b \in S$ , then  $(S, \circ_{\Re})$  is a Clifford semigroup.

# Rota-Baxter induced Clifford semigroup

### Proposition (C., M., S., 2023)

Let  $\mathfrak{R}$  an RB-operator on a Clifford semigroup (S, +). Set

 $a \circ_{\mathfrak{R}} b \coloneqq a + \mathfrak{R}(a) + b - \mathfrak{R}(a),$ 

for all  $a, b \in S$ , then  $(S, \circ_{\Re})$  is a Clifford semigroup.

#### Proposition (C., M., S., 2023)

 $S_{\mathfrak{R}} \coloneqq (S, +, \circ_{\mathfrak{R}})$  is a dual weak brace.

# Rota-Baxter induced Clifford semigroup

### Proposition (C., M., S., 2023)

Let  $\mathfrak{R}$  an RB-operator on a Clifford semigroup (S, +). Set

 $a \circ_{\mathfrak{R}} b \coloneqq a + \mathfrak{R}(a) + b - \mathfrak{R}(a),$ 

for all  $a, b \in S$ , then  $(S, \circ_{\Re})$  is a Clifford semigroup.

#### Proposition (C., M., S., 2023)

 $S_{\mathfrak{R}} \coloneqq (S, +, \circ_{\mathfrak{R}})$  is a dual weak brace.

F. Catino, M. Mazzotta, M.M. Miccoli, P. Stefanelli, *Set-theoretic solutions of the Yang-Baxter equation associated to weak braces*, Semigroup Forum 104 (2) (2022) 228–255

A *dual weak brace* is a triple  $(S, +, \circ)$  such that (S, +) and  $(S, \circ)$  are Clifford semigroups satisfying -  $\forall a, b, c \in S$   $a \circ (b + c) = a \circ b - a + a \circ c$ , -  $\forall a \in S$   $a \circ a^- = -a + a$ , where -a and  $a^-$  denote the inverses of (S, +) and  $(S, \circ)$ .

A *dual weak brace* is a triple  $(S, +, \circ)$  such that (S, +) and  $(S, \circ)$  are Clifford semigroups satisfying -  $\forall a, b, c \in S$   $a \circ (b + c) = a \circ b - a + a \circ c$ , -  $\forall a \in S$   $a \circ a^- = -a + a$ , where -a and  $a^-$  denote the inverses of (S, +) and  $(S, \circ)$ .

A *dual weak brace* is a triple  $(S, +, \circ)$  such that (S, +) and  $(S, \circ)$  are Clifford semigroups satisfying -  $\forall a, b, c \in S$   $a \circ (b + c) = a \circ b - a + a \circ c$ , -  $\forall a \in S$   $a \circ a^- = -a + a$ , where -a and  $a^-$  denote the inverses of (S, +) and  $(S, \circ)$ .

If |E(S)| = 1, then  $(S, +, \circ)$  is a skew brace.

A *dual weak brace* is a triple  $(S, +, \circ)$  such that (S, +) and  $(S, \circ)$  are Clifford semigroups satisfying -  $\forall a, b, c \in S$   $a \circ (b + c) = a \circ b - a + a \circ c$ , -  $\forall a \in S$   $a \circ a^- = -a + a$ , where -a and  $a^-$  denote the inverses of (S, +) and  $(S, \circ)$ .

If |E(S)| = 1, then  $(S, +, \circ)$  is a skew brace.

If  $(S, +, \circ)$  is a dual weak brace, then the map

$$r_{S}(a,b) = (a \circ (a^{-} + b), (a^{-} + b)^{-} \circ b),$$

for all  $a, b \in S$ , is a set-theoretic solution of the YBE.





 $\phi_{\alpha,\alpha} = \mathrm{id}_{S_{\alpha}}$ 

Ga

 $\phi_{\alpha,\alpha\beta}$ 

 $\phi_{\alpha,\beta}$ 

 $G_{\beta} \supset \mathrm{id}_{S_{\beta}}$ 

 $\phi_{\beta,\alpha\beta}$ 

 $G_{\alpha\beta}$ 

id Sab



Let us consider the following:

- Y a (lower) semilattice;
- { $G_{\alpha} \mid \alpha \in Y$ } a family of disjoint groups;
- For each pair α, β of elements of Y such that α ≥ β, let φ<sub>α,β</sub> : G<sub>α</sub> → G<sub>β</sub> be a homomorphism of groups such that

1.  $\phi_{\alpha,\alpha}$  is the identical automorphism of  $G_{\alpha}$ , for every  $\alpha \in Y$ ;

**2.** 
$$\phi_{\beta,\gamma}\phi_{\alpha,\beta} = \phi_{\alpha,\gamma}$$
 if  $\alpha \ge \beta \ge \gamma$ .



Marzia Mazzotta (Università del Salento) | Rota-Baxter operators on Clifford semigroups and the Yang-Baxter equation

# Strong RB-operators

#### Theorem (C.M.S., 2023)

Let  $S = [Y; G_{\alpha}; \phi\alpha, \beta]$  be a Clifford semigroup and assume that  $\Re_{\alpha}$  is a Rota–Baxter operator on each group  $(G_{\alpha}, +)$ , for every  $\alpha \in Y$ . Then, the map  $\Re : S \to S$  given by

 $\Re(a) = \Re_{\alpha}(a),$ 

for every  $a \in G_{\alpha}$ , is a RB-operator on (S, +) if and only if the condition

 $\Re_{\beta}\phi_{\alpha,\beta} = \phi_{\alpha,\beta}\Re_{\alpha},$ 

is satisfied, for all  $\alpha, \beta \in Y$  such that  $\alpha \ge \beta$ .

# Thank you!

Marzia Mazzotta (Università del Salento) | Rota-Baxter operators on Clifford semigroups and the Yang-Baxter equation