

Algebras defined by Lyndon words and
Artin-Schelter regularity
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AS regular algebras were introduced and studied first in [AS, ATV1, ATV2] in 90's. **The problems of classification and finding new classes of regular algebras are central for noncommutative algebraic geometry.** When $d \leq 3$ all regular algebras are classified. The problem of classification is difficult and remains open even for regular algebras of $gl \dim = 5$.

Theorem

Let $A = \mathbf{k}\langle X \rangle / (\mathfrak{R})$ be a quantum binomial algebra, $|X| = n$

The following conditions are equivalent:

- (1) A is an Artin-Schelter regular algebra, where \mathfrak{R} is a Gröbner basis.
- (2) A is a Yang-Baxter algebra, that is the automorphism $R = R(\mathfrak{R}) : V^{\otimes 2} \longrightarrow V^{\otimes 2}$ is a solution of the Yang-Baxter equation.
- (3) A is a binomial skew polynomial ring, with respect to some enumeration of X .
- (3) The Hilbert series of A is

$$H_A(z) = \frac{1}{(1-z)^n}.$$

Each of these conditions implies that A is Koszul and a Noetherian domain.

Definition

Let $V = \text{Span}_{\mathbf{k}} X$. Let $\mathfrak{R} \subset \mathbf{k}\langle X \rangle$ be a set of quadratic binomials, satisfying the following conditions:

B1 Each $f \in \mathfrak{R}$ has the shape $f = xy - c_{yx}y'x'$, where $c_{xy} \in \mathbf{k}^\times$ and $x, y, x', y' \in X$.

B2 Each monomial xy of length 2 occurs at most once in \mathfrak{R} .

The (involutive) automorphism $R = R(\mathfrak{R}) : V^{\otimes 2} \longrightarrow V^{\otimes 2}$ associated with \mathfrak{R} is defined as

$$\begin{aligned} R(x \otimes y) &= c_{xy}y' \otimes x', \text{ and } R(y' \otimes x') = (c_{xy})^{-1}x \otimes y \\ &\text{iff } xy - c_{xy}y'x' \in \mathfrak{R}. \\ R(x \otimes y) &= x \otimes y \text{ iff } xy \text{ does not occur in } \mathfrak{R}. \end{aligned}$$

The algebra $A = \mathbf{k}\langle X \rangle / (\mathfrak{R})$ is a *quantum binomial algebra* if the relations are *square-free* and the associated quadratic set (X, r) is nondegenerate. A is a *Yang-Baxter algebra* (Manin, 1988), if the map $R = R(\mathfrak{R}) : V^{\otimes 2} \longrightarrow V^{\otimes 2}$, is a solution of the YBE, $R^{12}R^{23}R^{12} = R^{23}R^{12}R^{23}$.

Settings: $X = \{x_1, \dots, x_n\}$ is a finite alphabet; K is a field, W is an antichain of monomials in X^+

We study classes $\mathfrak{C}(X, W)$ consisting of associative graded K -algebras $A = K\langle X \rangle / I$ generated by X and with a fixed obstructions set W . Our study is related to the following (at least):

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True, whenever the monomial algebra $A_W \in \mathfrak{C}(X, W)$ has finite global dimension, see Theorem A.

Definitions and Conventions.

Let $X = \{x_1, x_2, \dots, x_n\}$ be a finite alphabet. X^* and X^+ denote, resp., the free monoid, and the free semigroup generated by X , ($X^+ = X^* - \{1\}$). We consider two orderings on X^* .

1. The *lexicographic order* $<$ on X^+ , $x_1 < x_2 < \dots < x_n$.

$u < v$ iff either $v = ub, b \in X^+$, or

$$u = axb, v = ayc \text{ with } x < y, x, y \in X, a, b, c \in X^*.$$

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Conv. All Gröbner bases of (associative) ideals I in $K\langle X \rangle$ and all Lyndon-Shyrshov Lie bases of Lie ideals J in $Lie(X)$ will be considered with respect to " \prec "-the deg-lex well-ordering on X^* .

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Def. A word $a \in X^*$ is *W -normal* (*W -standard*) if $u \not\sqsubset a, \forall u \in W$.

$$\mathfrak{N} = \mathfrak{N}(W) := \{a \in X^* \mid a \text{ is } W\text{-normal}\}.$$

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Def. Let I be an ideal in $K\langle X \rangle$, $A = K\langle X \rangle / I$, \bar{I} the set of all highest monomials of elements of I , w.r.t. \prec . *The set of obstructions* $W = W(I)$ is the subset of all words in \bar{I} which are minimal w.r.t. \sqsubseteq :

$$W(I) = \{u \in \bar{I} \mid v \sqsubseteq u, v \in \bar{I} \implies v = u\}.$$

W is the unique maximal antichain of monomials in \bar{I} .

Remarks

$W(I)$ depends on the ideal I , as well as, on the order \prec on X^+ .
Let $A = K\langle X \rangle / I$. The theory of Gröbner bases implies that there is an isomorphism of K -vector spaces

$$K\langle X \rangle = \text{Span}_K \mathfrak{N}(W) \oplus I, \quad A \cong \text{Span}_K \mathfrak{N}(W).$$

$W = W(I)$ is also called *the set of obstructions for* \mathfrak{N} , or *the set of obstructions for* A .

NB. It is known that the ideal I has *unique reduced Groebner basis*

$$G_0 = \{f_u = u + h_u \mid u \in W, \bar{h} \prec u \text{ in normal form mod } G_0 - f_u\},$$

In other words, $W = \overline{G_0}$.

Example. $X = \{x < y\}$, $W = \{xxy, xyy\}$, is an antichain of Lyndon words, the class $\mathfrak{C}(X, W)$ contains two non-isomorphic regular algebras: A, B .

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$$I = (f_1, f_2), \quad f_1 = x^2y - yx^2, \quad \overline{f_1} = xxy \in W$$

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$\mathfrak{R} = \{\overline{f_1}, \overline{f_2}\}$ is the reduced Gröbner basis of I

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$N = N(W) = \{x < xy < y\}$ is the set of Lyndon atoms

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$A \in \mathfrak{C}(X, W)$ is an AS-regular algebra of $gl \dim A = 3$, **type A**.

The same class $\mathfrak{C}(X, W)$, with $X = \{x < y\}$,
 $W = \{xxy, xyy\}$, $N = \{x < xy < y\}$

(ii) $B = K\langle x, y \rangle / I \in \mathfrak{C}(X, W)$,

$$I = (w_1, w_2) = ([W])$$

$$w_1 = [xxy] = [x, [x, y]] = xxy - 2xyx + yxx; \overline{w_1} = xxy \in W$$

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$B = U\mathfrak{g}$, the enveloping algebra of the 3-dimensional Lie algebra $\mathfrak{g} = Lie(x, y) / ([xxy], [xyy])_{Lie}$, with a K -basis $[N] = \{x, [x, y], y\}$, hence B is AS regular.

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$\mathfrak{g} \simeq \mathfrak{h}_3$, the 3-dimensional *Heisenberg algebra* with a K -basis x, y, t , and relations $[x, y] = t, [x, t] = 0, [y, t] = 0$.

First results-the general case when $A = K\langle X \rangle / I$ in $\mathfrak{C}(X, W)$ has polynomial growth and finite global dimension. We prove that

Given the class $\mathfrak{C}(X, W)$, such that the monomial algebra $A_W = K\langle X \rangle / (W) \in \mathfrak{C}(X, W)$ has $gl \dim A_W = d < \infty$, $GK \dim A_W < \infty$. Then

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Remark. In general, $gl. \dim A \leq gl. \dim A_W$ (always) and I have examples when $gl. \dim A < gl. \dim A_W$. Surprisingly, when A_W has $gl \dim A_W = d < \infty$ and polynomial growth, the global dimension $gl. \dim A$ *does not depend on the shape of the defining relations* of A but only *on the set of obstructions* W .

Anick. The set of n -chains on W is defined recursively.

A (-1) -chain is the monomial 1, a 0-chain is any element of X , and a 1-chain is a word in W . An $(n + 1)$ -prechain is a word $w \in X^+$, which can be factored in two different ways $w = uvq = ust$ such that $t \in W$, u is an $n - 1$ chain, uv is an n -chain, and s is a proper left segment of v . An $(n + 1)$ -prechain is an $(n + 1)$ -chain if no proper left segment of it is an n -prechain. In this case the monomial q is called *the tail of the n -chain w* .

Theorem [Anick] Suppose $W \subset X^+$ is an antichain of monomials. The monomial algebra $A_W = K\langle X \rangle / (W)$ has $gl \dim A_W = d$ iff there are no d -chains on W but there exists a $d - 1$ chain on W .

Theorem I. Suppose W is an antichain in X^+ ,
s.t. there are no d -chains but there exists a $d - 1$ -chain on W .
Let $A = K\langle X \rangle / I \in \mathfrak{C}(X, W)$, with $GK \dim A < \infty$. Then:

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 - (2.a) The normal K -basis \mathfrak{N} of A and its Hilbert series satisfy:
$$\mathfrak{N} = \{a_1^{k_1} a_2^{k_2} \cdots a_d^{k_d} \mid k_i \geq 0, 1 \leq i \leq d\},$$
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 - (2.c) A is s.f.p., the ideal I has a finite reduced Gröbner basis \mathfrak{R} , where $|\mathfrak{R}| = |W| \leq d(d - 1)/2$.

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 - (2.a) The normal K -basis \mathfrak{N} of A and its Hilbert series satisfy:
$$\mathfrak{N} = \{a_1^{k_1} a_2^{k_2} \cdots a_d^{k_d} \mid k_i \geq 0, 1 \leq i \leq d\},$$
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In this case A is Koszul.

Classes $\mathfrak{C}(X, W)$, where W is **an antichain of Lyndon words** are of special interest.

A nonperiodic word $u \in X^+$ is a *Lyndon word* if it is minimal (with respect to $<$) in its conjugate class

$$u = ab, a, b \in X^+ \implies u < ba.$$

L denotes the set of Lyndon words in X^+ . By definition $X \subset L$.

Example. $X = \{x < y\}$. The Lyndon words of length ≤ 5 are:

$$\begin{aligned} &x, y, xy, xxy, xyy, \\ &xxx, xxyy, xyyy, \\ &xxxy, xyxyy, x^k y^l, k + l = 5, 1 \leq k, l \leq 4. \end{aligned}$$

Some Facts. (1) If $a < b$ are Lyndon words then ab is a Lyndon word, so $a < ab < b$.

(2) Let $w \in L$. If $w = ab$, where b is the longest proper right segment of w with $b \in L$ then $a \in L$. This is *the (right) standard factorization of w* and denoted as $w = (a, b) = (a, b)_r$. (Used for the standard Lie bracketing of Lyndon words).

Obstructions set W , Lyndon Atoms $N = N(W)$. Duality $W \longleftrightarrow N(W)$

Definition. Given an antichain W of Lyndon words, the set of W -normal Lyndon words is denoted by $N = N(W)$, and is called a *the set of Lyndon atoms corresponding to W* .

$$N = N(W) = \mathfrak{N}(W) \cap L.$$

We study classes $\mathfrak{C}(X, W)$ of associative graded K -algebras A generated by X and with a fixed obstructions set W consisting of *Lyndon words in the alphabet X* . Clearly, the monomial algebra $A_{\text{mon}} = K\langle X \rangle / (W) \in \mathfrak{C}(X, W)$. Moreover, all algebras A in $\mathfrak{C}(X, W)$ share the same PBW type K -basis \mathfrak{N} , built out of the *Lyndon atoms N* . In general, the set N may be infinite. N "controls" the $\text{GK dim } A$, and W "controls" $\text{gl dim } A_{\text{mon}}$: A has polynomial growth of degree d iff $|N| = d$, moreover $\text{gl dim } A \leq \text{gl dim } A_W \leq |W| - 1$, whenever W is a finite set.

Relations between W and $N(W)$, Lyndon pairs (N, W)

Each antichain $W \subset L$ determines uniquely a set of Lyndon atoms $N = N(W) \subset L$. It satisfies

- C1.** $X \subseteq N$.
- C2.** $\forall v \in L, \forall u \in N, v \sqsubseteq u \implies v \in N$.
- C3.** $u \in N \iff u \in L$ and $u \notin (W)$.

Conversely, each set N of Lyndon words satisfying conditions **C1** and **C2** determines uniquely an antichain of Lyndon monomials $W = W(N)$, such that condition **C3** holds, and N is exactly the set of Lyndon atoms corresponding to W .
In this case (N, W) will be called *a Lyndon pair*.

Open Question 1. Is it true that if A is an (s.f.p.) Artin-Schelter regular algebra there exists an appropriate ordering $<$ on X , so that the obstructions set W of A consists of Lyndon words?

True for the class of \mathbb{Z}^2 -graded AS-regular algebras $A = K\langle x_1, x_2 \rangle / I$ of global dimension 5.
(Floystad-Watne, 2011, G.S. Zhou, D.M. Lu, 2013)

Open Question 2. Let (N, W) be a Lyndon pair in X^+ .
When the class $\mathcal{C}(X, W)$ contains AS-regular algebras?

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- (1) If "Yes" then N is finite, $|N| = d$, hence $|W| \leq d(d-1)/2$.
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- (2) $\mathfrak{C}(X, W)$ contains an abundance of AS-regular algebras,
whenever $|W| = d(d-1)/2$. Here $N = X$, $gl \dim A = n$
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- (4) $\mathfrak{C}(X, W)$ contains an AS-regular algebra of $gl \dim A = |N|$,
whenever $\mathfrak{g} = Lie(X)/([W])$, has a K -basis $[N]$, or
equivalently $[W]$ is a GS-Lie basis (this can be effectively
verified). Here $A = Ug$. In this case N is connected.

- (5) $\mathfrak{C}(X, W(\text{Fib}_6))$ contains the monomial Fibonacci-Lyndon algebra F_6 , $\text{GK dim } F_6 = \text{gl. dim } F_6 = 6$, but does not contain a \mathbb{Z}_2 -graded AS-regular algebras.

Proposition 1.

- ▶ (1) There exists a one-to-one correspondence between the set \mathbb{W} of all antichains W of Lyndon words with $X \cap W = \emptyset$ and the set \mathbb{N} of all sets $N \subset L$ satisfying **C1** and **C2**.

$$\begin{aligned} \phi : \quad \mathbb{W} &\longrightarrow \mathbb{N} & W &\mapsto N(W) \\ \phi^{-1} : \quad \mathbb{N} &\longrightarrow \mathbb{W} & N &\mapsto W(N). \\ & & N(W(N)) &= N; \quad W(N(W)) = W \end{aligned}$$

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- ▶ (4) Each $N \in \mathbb{N}$ determines uniquely $A_{mon} = K\langle X \rangle / (W)$, with def. relations $W = W(N)$ and Lyndon atoms N .

$$GK \dim A_{mon} = d \iff |N| = d.$$

Suppose $N = \{l_1 < l_2 < l_3 \cdots < l_d\}$ is a set of Lyndon words closed under taking Lyndon subwords.

$$m = \max\{|l_i| \mid 1 \leq i \leq d\}$$

We say that N is **connected** if

$$N \cap L_s \neq \emptyset, \quad \forall s \leq m.$$

This is a necessary condition for " $\mathfrak{S}(X, W)$ contains the enveloping algebra $U = U\mathfrak{g}$ of a Lie algebra \mathfrak{g} ".

Lemma. Suppose (N, W) is a Lyndon pair. If the class $\mathfrak{S}(X, W)$ contains the enveloping algebra $U = U\mathfrak{g}$ of a Lie algebra \mathfrak{g} then N is a connected set of Lyndon atoms, and $[N]$ is a K -basis for \mathfrak{g} .

Theorem II. Let $W \subset X^+$ be an antichain of monomials, let N be the set of normal Lyndon word, $N = \mathfrak{N} \cap L$ is not necessarily finite.

(1) W is an antichain of Lyndon words *iff* the set of normal words $\mathfrak{N} = \mathfrak{N}(W)$ has the shape

$$\mathfrak{N} = \{l_1^{k_1} l_2^{k_2} \cdots l_s^{k_s} \mid l_1 > \cdots > l_s \in N, s \geq 1, k_i \geq 0, 1 \leq i \leq s\},$$

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Proposition 2.

Suppose $\mathfrak{g} = \text{Lie}(X)/J$ is a Lie algebra, $U = U\mathfrak{g} = K\langle X \rangle / I$. Let W be the set of obstructions for U , let $A_W = K\langle X \rangle / (W)$, $\mathfrak{N} = \mathfrak{N}(I)$, $N = N(W) = \mathfrak{N} \cap L$.

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- (3) Each of these equiv. conditions implies that U is s.f.p., and

$$d - 1 \leq |W| \leq d(d - 1)/2, \quad \text{where } d = |N|,$$

$$\text{gldim}(U) = \text{GK dim}(U) = \dim_K \mathfrak{g} = |N| = d.$$

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 $\mathfrak{C}(X, W)$ contains an abundance of (non isomorphic) PBW AS regular algebras: each of them is a skew polynomial ring with square-free binomial relations (GI), and defines a solution of the YBE.

Monomial Lie algebras

Let W be an antichain of Lyndon words, let $J = ([W])_{Lie}$ be the Lie ideal

generated by $[W] = \{[w] \mid w \in W\}$ in $Lie(X)$. The Lie algebra $\mathfrak{g} = Lie(X)/J$ is called *a monomial Lie algebra defined by Lyndon words*, or shortly, *a monomial Lie algebra*. We call \mathfrak{g} a *standard monomial Lie algebra* and denote it by \mathfrak{g}_W if $[W]$ is a Gröbner-Shirshov basis of the Lie ideal J . In this case $U\mathfrak{g} \in \mathfrak{C}(X, W)$.

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Monomial Lie algebras of dimension 6

► $\mathfrak{g} \in \mathfrak{N}_4$

$$(6.4.1) \quad N \quad x < x^3y < x^2y < xy < xy^2 < y$$

$$W \quad x^4y, x^3yx^2y, x^2yxy, xyxy^2, xy^3$$

$$(6.4.2) \quad N \quad x < x^2y < x^2y^2 < xy < xy^2 < y$$

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► $\mathfrak{g} \in \mathfrak{N}_5$, Filiform Lie algebras of dimension 6.

$$(6.5.3) \quad \begin{array}{l} N \quad x < xy < xy^2 < xy^3 < xy^4 < y \\ W \quad xy^i xy^{i+1}, 0 \leq i \leq 3, xy^5 \end{array}$$

$$(6.5.4) \quad \begin{array}{l} N \quad x < xy < xyxy^2 < xy^2 < xy^3 < y \\ W \quad x^2y, xyxyxy^2, xyxy^2xy^2, xy^2xy^3, xy^4 \end{array}$$

Each of the remaining classes $\mathfrak{C}(X, W)$ does not contain enveloping of a Lie algebra.

- (6.5.5) N $x < x^2y < x^2yxy < xy < xy^2 < y$
 W $x^3y, x^2y^2, (x^2y)^2xy, x^2y(xy)^2, xyxy^2, xy^3$
- (6.7.6) N $x < x^3y < x^3yx^2y < x^2y < xy < y$
 W $x^4y, (x^3y)^2x^2y, x^3y(x^2y)^2, x^2yxy, xy^2$
- (6.7.7) N $x < x^2y < x^2yxy < x^2yxyxy < xy < y$
 W $x^3y, (x^2y)^2xy, (x^2yxy)^2xy, x^2y(xy)^3, xy^2$
- (6.7.8) N F_6 $x < xy < xyxy^2 < xyxy^2xy^2 < xy^2 < y$
 W $x^2y, xyxyxy^2, xyxy^2xy(xy^2)^2, xy(xy^2)^3, xy^3$

Fibonacci algebra

Standard Monomial Lie algebras of dimension 7; [W] is a GS basis only for N_1 through N_9

$$(7.4.1) \quad N_1 = \{x < x^3y < x^2y < x^2y^2 < xy < xy^2 < y\}, m = 4;$$

$$(7.4.2) \quad N_2 = \{x < x^3y < x^2y < xy < xy^2 < xy^3 < y\}, m = 4$$

$$(7.4.3) \quad N_3 = \{x < x^2y < x^2y^2 < xy < xy^2 < xy^3 < y\}, m = 4$$

$$(7.5.4) \quad N_4 = \{x < xy < xyxy^2 < xy^2 < xy^3 < xy^4 < y\}, m = 5$$

$$(7.5.5) \quad N_5 = \{x < x^2y < xy < xy^2 < xy^3 < xy^4 < y\}, m = 5$$

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$$(7.5.7) \quad N_7 = \{x < x^2y < x^2yxy < x^2y^2 < xy < xy^2 < y\}, m = 5$$

$$(7.5.8) \quad N_8 = \{x < x^2y < x^2y^2 < xy < xyxy^2 < xy^2 < y\}, m = 5$$

$$(7.6.9) \quad N_9 = \{x < xy < xy^2 < xy^3 < xy^4 < xy^5 < y\}, m = 6$$

$$W_9 = \{xy^i xy^{i+1}, 0 \leq i \leq 4\} \cup \{xy^6\}$$

$$(7.5.10)* \quad N = \{x < x^2y < x^2yxy < xy < xy^2 < xy^3 < y\}$$

$$(7.5.11)* \quad N = \{x < x^3y < x^2y < xy < xyxy^2 < xy^2 < y\}$$

$$(7.6.12)* \quad N = \{x < xy < xyxy^2 < xyxy^3 < xy^2 < xy^3 < y\}$$

- (7.5.13) $N = \{x < x^2y < x^2yxy < xy < xyxy^2 < xy^2 < y\}$
- (7.6.14) $N = \{x < x^2y < x^2y^2 < x^2y^2xy < xy < xy^2 < y\}$
- (7.7.15) $N = \{x < xy < xy^2 < xy^2xy^3 < xy^3 < xy^4 < y\}$
- (7.7.16) $N = \{x < xy < xyxy^2 < xy^2 < (xy^2)(xy^3) < xy^3 < y\}$
- (7.7.17) $N = \{x < x^2y < xy < xy^2 < (xy^2)(xy^3) < xy^3 < y\}$
- (7.7.18) $N = \{x < xy < (xy)(xyxy^2) < xyxy^2 < xy^2 < xy^3 < y\}$
- (7.7.19) $N = \{x < x^2y < (x^2y)(x^2y^2) < x^2y^2 < xy < xy^2 < y\}$
- (7.7.20) $N = \{x < x^2y < xy < xy(xyxy^2) < xyxy^2 < xy^2 < y\}$
- (7.8.21) $N = \{x < x^2y < xy < xyxy^2 < (xyxy^2)(xy^2) < xy^2 < y\}$
- (7.8.22) $N = \{x < xy < xyxy^2 < (xyxy^2)(xy^2) < xy^2 < xy^3 < y\}$
- (7.8.23) $N = \{x < xy < xyxyxy^2 < xyxy^2 < xyxy^2xy^2 < xy^2 < y\}$
- (7.9.24) $N = \{x < xy < xy^2 < xy^3 < (xy^3)(xy^4) < xy^4 < y\}$
- (7.9.25) $N = \{x < xy < xyxyxyxy^2 < xyxyxy^2 < xyxy^2 < xy^2 < y\}$
- (7.10.26) $N = \{x < xy < xy^2 < xy^2xy^2xy^3 < xy^2xy^3 < xy^3 < y\}$
- (7.11.27) $N = \{x < xy < xy^2 < xy^2xy^3 < (xy^2xy^3)(xy^3) < xy^3 < y\}$
- (7.11.28) $N = \{x, xy, xyxy^2, xyxy^2xy^2, xyxy^2xy^2xy^2, xy^2 < y\}$
- (7.12.29) $N = \{x, xy, xyxyxy^2, xyxyxy^2xyxy^2, xyxy^2, xy^2 < y\}$
- (7.13.30) $N_{F_7} = \{x, y, xy, xyy, xyxyy, xyxyyxyy, xyxyyxyxyxyy\}$.