

# Units of group algebras and automorphism groups

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## Units in group rings

- $R$ : commutative ring
- $G$ : finite group

### Question (Brauer)

To what extent does  $RG$  determine  $G$ ?

### A more precise version of this question: Isomorphism Problem (Higman)

Does  $\mathbb{Z}G \cong \mathbb{Z}H$  imply  $G \cong H$ ? *True for:  $G$  nilpotent,  $G$  metabelian, ...*

### Unit groups: $\mathcal{U}(RG) = \{ \text{units in } RG \}$

- $\mathcal{U}(RG)$  trivially contains  $G$  and  $\mathcal{U}(R)$ , but  $\mathcal{U}(RG)$  is much bigger (for  $R = \mathbb{Z}$  it is an arithmetic group).
- $\mathcal{U}(RG)$  is an invariant of the ring  $RG$ , and it is natural to consider it when trying to answer Brauer's question.

Zassenhaus made three strong conjectures on the finite subgroups of  $\mathcal{U}(\mathbb{Z}G)$ , which would allow us to recover  $G$  from  $\mathcal{U}(\mathbb{Z}G)$ .

## What do we know about $\mathcal{U}(\mathbb{Z}G)$ for finite groups $G$ ?

- $\mathcal{U}(\mathbb{Z}G)$  is finitely presented.
- However, presentations are hard to come by, except in small examples. E.g.

$$\begin{aligned} S_3 &= \langle t, y \mid t^3 = 1, y^2 = 1, {}^y t = t^{-1} \rangle \\ \cap \\ \mathcal{U}(\mathbb{Z}S_3) &= \langle t, y, v \mid t^3 = 1, y^2 = 1, {}^y t = t^{-1}, {}^y v = v^{-1} \rangle \\ &\cong (C_3 * C_\infty) \rtimes C_2 \quad ("*" = \text{free product}) \end{aligned}$$

where  $v = 1 + (1 - y) \cdot t \cdot (1 + y) \in \mathcal{U}(\mathbb{Z}S_3)$ .

- Even finding generators is an impossible task in almost all cases.  $\rightsquigarrow$  [other methods required](#).
- There are units of finite order which are not conjugate to group elements (up to sign). In the above example:  $v \cdot y$  has order two.

# The Zassenhaus conjectures

## How to get rid of units of $R$

- **Augmentation map:**  $\varepsilon : RG \longrightarrow R : \sum_{g \in G} r_g \cdot g \mapsto \sum_{g \in G} r_g,$
- **Normalised units:**  $V(RG) = \{u \in \mathcal{U}(RG) \mid \varepsilon(u) = 1\}.$

We get a decomposition

$$\mathcal{U}(RG) = V(RG) \times \mathcal{U}(R).$$

## The conjectures

$$\begin{array}{ll} \text{(IP):} & \mathbb{Z}G \cong \mathbb{Z}H \implies G \cong H \\ \uparrow & \\ \text{(ZC2):} & H \leq V(\mathbb{Z}G) \text{ and } |H| = |G| \implies aHa^{-1} = G \text{ for some } a \in \mathcal{U}(\mathbb{Q}G) \\ \uparrow & \\ \text{(ZC3):} & H \leq V(\mathbb{Z}G) \text{ finite} \implies aHa^{-1} \subseteq G \text{ for some } a \in \mathcal{U}(\mathbb{Q}G) \\ \downarrow & \\ \text{(ZC1):} & H \leq V(\mathbb{Z}G) \text{ finite and cyclic} \implies aHa^{-1} \subseteq G \text{ for some } a \in \mathcal{U}(\mathbb{Q}G) \end{array}$$

Roggenkamp & Scott: counterexample to (ZC2). Hertweck: counterexample to (IP).  
Hence, (IP), (ZC3) and (ZC2) are all **false in general**.

## What about (ZC1), the first Zassenhaus conjecture?

### (ZC1) reformulated

If  $u \in \mathcal{U}(\mathbb{Z}G)$  has finite order, then  $aua^{-1} = \pm g$  for some  $g \in G$  and  $a \in \mathcal{U}(\mathbb{Q}G)$ .

### Remark

(ZC1) is a conjecture about **individual units**.

The counterexamples to (ZC2) and (IP) are unexpected finite subgroups of  $V(\mathbb{Z}G)$ , but each element of these subgroups satisfies (ZC1).

The conjecture (ZC1) is true for a certain classes of groups  $G$ . But

### Theorem (E-Margolis, 2018)

Let  $n \in \mathbb{N}$  be arbitrary. There is a finite group

$$G = ((\mathbb{Z}/p\mathbb{Z})^2 \times (\mathbb{Z}/q\mathbb{Z})^2) \rtimes A \quad \text{for primes } p, q \text{ and an abelian group } A$$

such that  $\mathbb{Z}G$  has least  $n$  different  $\mathcal{U}(\mathbb{Q}G)$ -conjugacy classes of elements of order  $p \cdot q$  not conjugate to an element of  $\pm G$ .

This contradicts (ZC1).

So there are more units than expected. What could still be true?

- All mentioned counter-examples (not only to (ZC1), but also (ZC2) and (IP)) involve units whose order is divisible by at least two primes.
- What about units in  $\mathbb{Z}G$  of  $p$ -power order?  
Is any finite  $p$ -subgroup of  $V(\mathbb{Z}G)$  isomorphic to a subgroup of  $G$ ?
- We can replace  $\mathbb{Z}$  by the  $p$ -adic integers  $\mathbb{Z}_p$  and ask the same.  
Is any finite  $p$ -subgroup of  $V(\mathbb{Z}_p G)$  isomorphic to a subgroup of  $G$ ? (this is obviously false for non- $p$ -subgroups)
- Subgroups  $H \leq V(RG)$  correspond to certain  $R(G \times H)$ -modules (later).  
For a  $p$ -local ring  $R$ , e.g.  $R = \mathbb{Z}_p$ , are these modules trivial source?

In modular representation theory we replace  $RG$  by a “block” and Sylow  $p$ -subgroups of  $G$  by “defect groups”, and the following is very interesting:

### Scott's defect group problem (1990)

Let  $B$  and  $C$  be blocks of  $\mathbb{Z}_p G$  and  $\mathbb{Z}_p H$  for finite groups  $G$  and  $H$ . If  $B \cong C$ , does a suitably normalised isomorphism

$$B \xrightarrow{\sim} C$$

take the defect groups of  $B$  to conjugates of those of  $C$ ?

## So what next?

- We should look at  $p$ -subgroups and/or  $p$ -local coefficient rings.
- I will talk about the latter, that is,  $p$ -local coefficient rings.
- I will talk about more recent problems surrounding automorphism groups of group algebras.
- These problems are related to (ZC2), but also to a theorem of Weiss which settled (IP) for  $p$ -groups.
- These problems also have applications to the modular representation theory of finite groups.

## Automorphism groups and Picard groups

General fact: (bi)modules and homomorphisms  $H \rightarrow \mathcal{U}(RG)$

Let  $G$  and  $H$  be finite groups,  $R$  any commutative ring. There is a bijection

$$\mathrm{Hom}(H, \mathcal{U}(RG)) / \mathrm{conj.} \longleftrightarrow \{ R(G \times H)\text{-modules } M \text{ s.t. } M|_G \cong RG \} / \mathrm{iso.}$$

- We also think of  $R(G \times H)$ -modules as  $RG$ - $RH$ -bimodules.
- The **outer automorphism group** of the  $R$ -algebra  $RG$  is

$$\mathrm{Out}_R(RG) = \mathrm{Aut}_R(RG) / \mathrm{Inn}(RG),$$

which corresponds to

$$\{ R(G \times G)\text{-modules } M \text{ s.t. } M|_{G \times 1} \text{ and } M|_{1 \times G} \text{ both free of rank one} \}.$$

- Composition of automorphisms corresponds to a tensor product “ $-\otimes_{RG} =$ ”.
- The **Picard group** of  $RG$  consists of

$$\{ \text{invertible } RG\text{-}RG\text{-bimodules } M \}.$$

- We also have a group  $\mathrm{Outcent}(RG)$  of outer automorphisms trivial on  $Z(RG)$ , as well as a group  $\mathrm{Picent}(RG)$ .



## Automorphisms and (ZC2)

We saw that “(ZC2)” would imply “(IP)”. The opposite implication relies on the following:

The property (AUT) (conjecture disproved by Roggenkamp and Scott)

We say a finite group  $G$  satisfies (AUT) if

$$\text{Aut}(\mathbb{Z}G) \subseteq \text{Aut}(G) \cdot \text{Inn}(\mathbb{Q}G).$$

This constrains the structure of  $\text{Out}(\mathbb{Z}G)$ .

(IP) + (AUT)  $\implies$  (ZC2)

If a finite group  $G$  satisfies (AUT) and it satisfies (IP), i.e.

$$\mathbb{Z}G \cong \mathbb{Z}H \implies G \cong H, \text{ for any finite group } H,$$

then  $G$  satisfies (ZC2), i.e.

$$H \leq V(\mathbb{Z}G) \text{ with } |H| = |G| \implies G \text{ and } H \text{ are conjugate in } \mathcal{U}(\mathbb{Q}G).$$

But we know that some finite groups fail to satisfy (IP), (ZC2) and/or (AUT).  
So: In what other ways can we constrain outer automorphism groups?

## Working over $p$ -local rings

By  $\mathbb{Z}_p$  we denote the  $p$ -adic integers (for any prime  $p > 0$ ).

### Local-global approach

- The elements of  $\text{Pic}(\mathbb{Z}G)$  and  $\text{Out}(\mathbb{Z}G)$  correspond to certain  $\mathbb{Z}(G \times G)$ -modules. As with (ZC1), (ZC2),  $\dots$ , we can look at  $\mathbb{Z}_p(G \times G)$ -modules first.
- A partial “local-global principle” in this case is given by Fröhlich’s localisation sequence:

$$1 \longrightarrow \text{Picent}(Z(\mathbb{Z}G)) \longrightarrow \text{Picent}(\mathbb{Z}G) \longrightarrow \prod_p \text{Picent}(\mathbb{Z}_p G) \longrightarrow 1$$

So it makes sense to consider outer automorphism groups, Picard groups etc. over  $\mathbb{Z}_p$  for different  $p$ . But also over  $\mathcal{O}$ :

### Definition

Fix a prime  $p > 0$ . By  $\mathcal{O}$  we denote a complete discrete valuation ring such that

- $\mathcal{O}$  has characteristic zero,
- $F = \mathcal{O}/p\mathcal{O}$  is algebraically closed of characteristic  $p$ .

Note that  $\mathcal{O} \supseteq \mathbb{Z}_p$ . This coefficient ring is used in modular representation theory.

## Questions about $\text{Out}_{\mathcal{O}}(\mathcal{O}G)$ and Picard groups

- For any finite group  $G$  we have

$$\text{Picent}(\mathcal{O}G) = \text{Outcent}(\mathcal{O}G) \leq \text{Out}_{\mathcal{O}}(\mathcal{O}G) \leq \text{Pic}_{\mathcal{O}}(\mathcal{O}G).$$

All of these inclusions are of finite index.

But: **the structure of these groups is/was unclear.**

- If we replace  $\mathcal{O}$  by  $\mathbb{Z}_p$ , all of the above groups are finite (Jordan-Zassenhaus).

### Questions (Boltje-Kessar-Linckelmann 2018)

- Is every element of  $\text{Pic}_{\mathcal{O}}(\mathcal{O}G)$  represented by a  $\mathcal{O}(G \times G)$ -module with endo-permutation source? **We can ask this for  $\mathbb{Z}_p$  instead of  $\mathcal{O}$  as well.**
- Is  $\text{Pic}_{\mathcal{O}}(\mathcal{O}G)$  finite? **This would be trivial over  $\mathbb{Z}_p$ , and would be implied by a positive answer to the first question.**

### Reformulation of the second question in terms of unit groups

Are there only finitely many conjugacy classes of embeddings  $G \hookrightarrow \mathcal{U}(\mathcal{O}G)$ ?

### Motivation

- These questions have applications in modular representation theory, in particular to the classification of blocks and Donovan's conjecture.
- The first question might have implications for (ZC2).

## What do $\mathcal{O}$ and $\mathbb{Z}_p$ look like?

Let  $F$  be any perfect field of characteristic  $p > 0$ .

### Witt vectors

Define

$$W(F) = \prod_{\mathbb{Z}_{\geq 0}} F \quad (\text{called the ring of Witt vectors})$$

There are polynomials  $\sigma_i, \mu_i \in F[x_0, \dots, x_i, y_0, \dots, y_i]$  for  $i \in \mathbb{Z}_{\geq 0}$  such that setting

$$(v + w)_i := \sigma_i(v_0, \dots, v_i, w_0, \dots, w_i) \text{ and } (v \cdot w)_i := \mu_i(v_0, \dots, v_i, w_0, \dots, w_i)$$

turn  $W(F)$  into a complete discrete valuation ring of char. 0 with  $W(F)/pW(F) = F$ .

**Example:**  $W(\mathbb{F}_p) \cong \mathbb{Z}_p$  (the ring of  $p$ -adic integers). We can pick  $\mathcal{O} = W(\bar{\mathbb{F}}_p)$ .

### Easy consequences

- $W(F)/p^n W(F)$  is isomorphic to the projection of  $W(F)$  to the first  $n$  components (i.e.  $F^n$ , also called “truncated Witt vectors”). The ring operations are still given by polynomials.
- $\mathrm{GL}_r(\mathcal{O}/p^n \mathcal{O})$  is an affine algebraic group ( $r, n \in \mathbb{N}$ ).
- $\mathrm{Aut}_{\mathcal{O}}(\mathcal{O}G/p^n \mathcal{O}G)$  and  $\mathrm{Out}_{\mathcal{O}}(\mathcal{O}G/p^n \mathcal{O}G)$  are affine algebraic groups over  $F$  (same is true for arbitrary “ $\mathcal{O}$ -orders”, not just  $\mathcal{O}G$ ).

## The structure of $\text{Out}_{\mathcal{O}}(\mathcal{O}G)$

### A corollary of Maranda's theorem

$\text{Out}_{\mathcal{O}}(\mathcal{O}G)$  embeds into  $\text{Out}_{\mathcal{O}}(\mathcal{O}G/p^n\mathcal{O}G)$  for  $n$  big enough.

### A variation of a theorem of Higman

Assume  $n$  is big enough. If an automorphism of  $\mathcal{O}G/p^{n+1}\mathcal{O}G$  lifts to an automorphism of  $\mathcal{O}G/p^{2n+1}\mathcal{O}G$ , then it lifts to an automorphism of  $\mathcal{O}G$ .

### Theorem (E 2018)

For  $n$  big enough we have

$$\text{Out}_{\mathcal{O}}(\mathcal{O}G) \cong \text{Im}(\text{Out}_{\mathcal{O}}(\mathcal{O}G/p^{2n+1}\mathcal{O}G) \longrightarrow \text{Out}_{\mathcal{O}}(\mathcal{O}G/p^{n+1}\mathcal{O}G))$$

### Corollary (E 2018)

$\text{Pic}_{\mathcal{O}}(\mathcal{O}G)$  and  $\text{Out}_{\mathcal{O}}(\mathcal{O}G)$  are affine algebraic groups over  $F = \mathcal{O}/p\mathcal{O}$ .

Everything on this slide works if we replace  $\mathcal{O}G$  by an  $\mathcal{O}$ -order in a semisimple algebra. But for  $\mathcal{O}G$  an even stronger assertion should be true.

## What about the finiteness question?

### The situation over a field $F = \bar{F}$

For a finite-dimensional  $F$ -algebra  $A$

- $\text{Out}_F(A)$  is an algebraic group.
- We have an embedding

$$\text{Lie}(\text{Out}_F(A)) \hookrightarrow HH^1(A)$$

Hence the dimension of  $\text{Out}_F(A)$  is bounded by the dimension of  $HH^1(A)$ .

We know that in general that

$$HH^1(\mathcal{O}G) = 0$$

for any finite group  $G$ .

The analogous statement for  $FG$  is wrong, and  $\text{Out}_F(FG)$  is usually an algebraic group of dimension  $> 0$ .

### Idea

If the Lie algebra of  $\text{Out}_{\mathcal{O}}(\mathcal{O}G)$  and  $HH^1(\mathcal{O}G)$  are related in a similar way, then  $\text{Out}_{\mathcal{O}}(\mathcal{O}G)$  should be finite.

## A finiteness theorem

The idea from the previous slide leads to the following:

### Theorem (E 2019)

$\text{Pic}_{\mathcal{O}}(\mathcal{O}G)$  and  $\text{Out}_{\mathcal{O}}(\mathcal{O}G)$  are finite groups.

### Reformulation in terms of unit groups

There are only finitely many conjugacy classes of embeddings  $G \hookrightarrow \mathcal{U}(\mathcal{O}G)$ . Or:

$$[N_{\mathcal{U}(KG)}(\mathcal{U}(\mathcal{O}G)) : \mathcal{U}(\mathcal{O}G)] < \infty$$

- In general, the theorem above is the strongest statement known at the moment.
- If  $G$  has a normal Sylow  $p$ -subgroup, then a theorem of Weiss implies that the elements of  $\text{Outcent}(\mathcal{O}G)$  are represented by trivial source modules.
- In that case  $\text{Pic}_{\mathcal{O}}(\mathcal{O}G)$  is automatically finite, and this was already observed by Boltje, Kessar and Linckelmann.
- For some groups with normal Sylow  $p$ -subgroups (or blocks with normal defect group) we know that  $\text{Pic}_{\mathcal{O}}(\mathcal{O}G)$  consists of elements represented by linear source bimodules (Livesey-Marchi).

## Future research

### Question (from earlier, still open)

Are all elements of  $\text{Out}_{\mathcal{O}}(\mathcal{O}G)$  and  $\text{Pic}_{\mathcal{O}}(\mathcal{O}G)$  represented by elements of endo-permutation source?

This is non-trivial even if we replace  $\mathcal{O}$  by  $\mathbb{Z}_p$ .

- This question pops up in modular representation theory, in particular in the context of Donovan's conjecture.
- A lot of the work by Cliff, Weiss, Roggenkamp and Scott related to the Zassenhaus conjectures applies to this problem.
- We can ask in the context of the Zassenhaus conjectures:  
Counterexamples correspond to certain  $\mathbb{Z}(G \times H)$ -modules, where  $G$  and  $H$  depend on which Zassenhaus conjecture we are looking at. Do these modules become endo-permutation or even trivial source modules over  $\mathbb{Z}_p$ ?
- For small groups one can determine all lattices with a given character computationally. Can one construct units or automorphisms violating the questions above?

Thank you for your attention!